

Alaska Department of Transportation & Public Facilities

In the Know: Contract Form Updates

Jon Weaver

March 2, 2023

Our mission is to Keep Alaska Moving through service and infrastructure.

Introduction

Presenter: Jon Weaver

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25D-06 Bidder Registration

25D-55H FHWA Required Contract Provisions

25D-55A FAA Required Contract Provisions

25A-301 EEO Conditions

May 2022

July 2022

January 2023*

January 2023*



25D-06 Bidder Registration

Adds hyperlinks to CFR requirements and Size Standards Tool

Removed the net worth amount from the form and references where PNW value is found.

6.39): mplemented a Small Business Enterprise Program. This d SBE Firm/ All businesses wishing to be eligible as a SBE BE Self-Registration form must be submitted on an annual standards under 49 CFR 26.65(a)&(b) and 26.67(2)(i), at the documents: c returns you are self-certified as a small business (please contact 7) 786-7258 if you require assistance on becoming a self- ocumentation to: : Certification ling affiliates) last five year average annual Yes No inistration (SBA) small business size m qualifies as small, use the SBA's of small business size standards. <i>IE Program</i>
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ting affiliates) last three year average annual ☐`Yes ☐No ds per <u>49 CFR 26.65(b)</u> ? 3E Program
vner of your firm does not exceed the Yes No BE Program
-
Contact Name
Fax Number
Company Website



25D-55H Required Contract Provisions for FHWA

Form FHWA-1273

Section by section changes available from FHWA at <u>https://www.fhwa.dot.gov/</u> <u>construction/cqit/fhwa1273</u> <u>changes220803.pdf</u>



STATE OF ALASKA DEPARTMENT OF TRANSPORTATION AND PUBLIC FACILITIES REQUIRED CONTRACT PROVISIONS for FEDERAL-AID (FHWA) CONSTRUCTION CONTRACTS

FHWA-1273 -- Revised July 5, 2022

I. General

- . Nondiscrimination
- II. Non-segregated Facilities
- IV. Davis-Bacon and Related Act Provisions
 V. Contract Work Hours and Safety Standards Act Provisions
- VI. Subletting or Assigning the Contract
- VII. Safety: Accident Prevention
- VIII. False Statements Concerning Highway Projects
- IX. Implementation of Clean Air Act and Federal Water Pollution Control Act
- X. Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion
- XI. Certification Regarding Use of Contract Funds for Lobbying
- for Lobbying XII. Use of United States-Flag Vessels:

ATTACHMENTS

A. Employment and Materials Preference for Appalachian Development Highway System or Appalachian Local Access Road Contracts (included in Appalachian contracts only)

I. GENERAL

 Form FHWA-1273 must be physically incorporated in each construction contract funded under title 23, United States Code, as required in 23 CFR 633 102(b) (excluding emergency contracts solely intended for debris removal). The contractor (or subcontractor) must insert this form in each subcontract and further require its inclusion in all Subject to the applicability criteria noted in the following sections, these contract provisions shall apply to all work performed on the contract by the contractor's own organization and with the assistance of workers under the contractor's immediate superintendence and to all work performed on the contract by piecework, station work, or by subcontract. 23 CFR 633.102(d).

3. A breach of any of the stipulations contained in these Required Contract Provisions may be sufficient grounds for withholding of progress payments, withholding of final payment, termination of the contract, suspension / debarment or any other action determined to be appropriate by the contracting agency and FHWA.

4. Selection of Labor: During the performance of this contract, the contractor shall not use convict labor for any purpose within the limits of a construction project on a Federal-aid highway unless it is labor performed by convicts who are on parole, supervised release, or probation. 23 U.S.C. 114(b). The term Federal-aid highway does not include roadways functionally classified as local roads or rural minor collectors. 23 U.S.C. 101(a).

II. NONDISCRIMINATION (23 CFR 230.107(a); 23 CFR Part 230, Subpart A, Appendix A; EO 11246)

The provisions of this section related to 23 CFR Part 230, Subpart A, Appendix A are applicable to all Federal-aid construction contracts and to all related construction subcontracts of \$10,000 or more. The provisions of 23 CFB Dard 210 are not applicable to matrixial europh.



25D-55H Required Contract Provisions for FHWA

Discrimination base on "sex" replaced with "sex, sexual orientation, and gender identity".

Davis-Bacon expanded to certain programs.

Liquidated damages for unpaid OT increased.

Clean Air & Water Pollution Act changes.

New certifications for Federal tax delinquency & Federal felony.

Cargo Preference Act.



25D-55A Required Contract Provisions for FAA

FAA document contains source and interpretation guidance.

https://www.faa.gov/sites/faa. gov/files/2023-01/combinedfederal-contract-provisions-2023-1-20.pdf



STATE OF ALASKA DEPARTMENT OF TRANSPORTATION AND PUBLIC FACILITIES

REQUIRED CONTRACT PROVISIONS for FEDERAL-AID (FAA) CONSTRUCTION CONTRACTS

- A0 GENERAL
- A1 ACCESS TO RECORDS AND REPORTS
- A2 AFFIRMATIVE ACTION REQUIREMENT
- A3 BREACH OF CONTRACT TERMS
- A4 BUY AMERICAN PREFERENCE
- A5 CIVIL RIGHTS GENERAL
- A6 CIVIL RIGHTS TITLE VI ASSURANCE
- A7 CLEAN AIR AND WATER POLLUTION CONTROL
- A8 CONTRACT WORKHOURS AND SAFETY STANDARDS ACT REQUIREMENTS
- A9 COPELAND "ANTI-KICKBACK" ACT
- A10 DAVIS-BACON REQUIREMENTS
- A11 DEBARMENT AND SUSPENSION
- A12 DISADVANTAGED BUSINESS ENTERPRISE
- A13 DISTRACTED DRIVING
- A14 PROHIBITION ON CERTAIN TELECOMMUNICATIONS AND VIDEO SURVEILLANCE SERVICES OR EQUIPMENT

- A15 DRUG FREE WORKPLACE REQUIREMENTS
- A16 EQUAL EMPLOYEMENT OPPORTUNITY (EEO)
- A17 FEDERAL FAIR LABOR STANDARDS ACT (FEDERAL MINIMUM WAGE)
- A18 LOBBYING AND INFLUENCING FEDERAL EMPLOYEES
- A19 PROHIBITION of SEGREGATED FACILITIES
- A20 OCCUPATIONAL SAFETY AND HEALTH ACT OF 1970
- A21 PROCUREMENT OF RECOVERED MATERIALS
- A22 RIGHT TO INVENTIONS
- A23 SEISMIC SAFETY
- A24 TAX DELINQUENCY AND FELONY CONVICTIONS
- A25 TERMINATION OF CONTRACT
- A26 TRADE RESTRICTION CERTIFICATION
- A27 VETERAN'S PREFERENCE
- A28 DOMESTIC PREFERENCES FOR PROCUREMENTS



25D-55A Required Contract Provisions for FAA

Numerous changes to reflect statutory changes.

Buy American

References to Specifications

EEO Clause A16.3.1 changes



25A-301 EEO Bid Conditions

Adds back required paragraphs removed in 2015.

US DOL program, not Alaska DOL

Goals (not quotas) are unchanged since 1980: Women 6.9% Minorities in Anchorage 8.7% Minorities Rest of State 15.1%



STATE OF ALASKA DEPARTMENT OF TRANSPORTATION AND PUBLIC FACILITIES

FEDERAL EEO BID CONDITIONS

STANDARD FEDERAL EQUAL EMPLOYMENT OPPORTUNITY CONSTRUCTION CONTRACT SPECIFICATIONS FOR ALL NON-EXEMPT FEDERAL AND FEDERALLY-ASSISTED CONSTRUCTION CONTRACTS TO BE AWARDED IN THE STATE OF ALASKA

Authority and Guidelines.

The Alaska Department of Transportation & Public Facilities (Department), as a State Transportation Agency (STA), has authority under 23 U.S.C. 140 and its implementing regulations to conduct a compliance program addressing Equal Employment Opportunity (EEO) and Affirmative Action (AA) in employment on non-exempt federal and federally-assisted construction contracts that are awarded in the State of Alaska. The STA's authority to administer a contract compliance with Nondiscrimination, EEO and AA programs are authorized under 23 U.S.C., 49 U.S.C., Title VI of the Civil Rights Act of 1964, MAP-21 and implementing regulations. The provisions of 23 CFR 200 and 49 CFR 21 provide authority to determine, and where necessary obtain compliance with the nondiscrimination provisions of Title VI. Under the provisions of Title VI 23 USC and related regulations, including 49 CFR 21 and 26, and 23 CFR Part 200, 230 and 633, it is the STA's responsibility to ensure compliance with and to enforce on all projects of Federal-aid contractors and subcontractors, whether a particular contract or work-site involves Federal-aid funds or not.

These citations confirm the requirement for contractors to provide, and States to obtain information that ensure nondiscrimination in employment on all of Federal and federally-assisted projects, and through these provisions, provide for EEO for minorities and women in all terms and conditions of their employment at all of their facilities and on all projects.

- Definitions. As used in these specifications:
- a. "Covered area" means the geographical area described in the solicitation from which this contract resulted;
- b. "Director" means Director, Office of Federal Contract Compliance Programs (OFCCP), U.S. Department of Labor, or any parson to whom the Director delegator sutherity.



CUF Forms

Used by DOT&PF staff during compliance interviews.



STATE OF ALASKA DEPARTMENT OF TRANSPORTATION & PUBLIC FACILITIES

DBE CUF Monitoring Report 25A-298

Commercially Useful Function (CUF): Per 49 CFR 26.55, "A DBE performs a commercially useful function when it is responsible for execution of the work of the contract and is carrying out its responsibilities by actually performing, managing, and supervising the work involved... A DBE does not perform a CUF if its role is limited tothat of an extra participant in a transaction, contract, or project through which funds are passed in order to obtain the appearance of DBE participation..."

Per Alaska Construction Manual 7.2.4, this form is to be completed by project field staff to perform CUF reviews on DBE prime contractors, DBE subcontractors, and DBE joint ventures. Perform a minimum of one review for each DBE on a federally-assisted project each construction season. The review should be conducted when the DBE first begins work, and when the DBE first begins work on subsequent construction seasons. Project staff monitor performance through the course of the project. Send a copy of this form to the Regional Contract Compliance Liaison (RCCL) for acceptance. The RCCL will coordinate potentially adverse findings with the CRO as appropriate.

Attach additional documentation supporting DBE's CUF. Additional documentation may include, but is not limited to, photos of DBE performing work; photos of DBE's equipment or trucks with DBE firm name and certification number; lease agreement, copies of invoice, and copies of subcontracts.

Reviewer Name:			Contact:		
Project Name:					
IRIS Project Number:		Federal Project ID N	No.:		
DOT&PF Division:					
DBE Firm Name:					



STATE OF ALASKA DEPARTMENT OF TRANSPORTATION & PUBLIC FACILITIES

DBE CUF Verification Report 25A-299

Commercially Useful Function (CUF): Per 49 CFR 26.55, "A DBE performs a commercially useful function when it is responsible for execution of the work of the contract and is carrying out its responsibilities by actually performing, managing, and supervising the work involved... A DBE does not perform a CUF if its role is limited tothat of an extra participant in a transaction, contract, or project through which funds are passed in order to obtain the appearance of DBE participation..."

Per Alaska Construction Manual 7.2.4, this form is to be completed by project field staff to perform CUT reviews on DBE prime contractors, DBE subcontractors, and DBE joint ventures. The verification review should be conducted when the DBE is substantially finished with their portion of the project work, and the 25.4-299 DBE CUF Verification Report completed at the time of review. The CUF verification should consider the prior monitoring (inspections) performed by Construction Administration staff throughout the course of the project. Send a copy of this form to the Regional Contract Compliance Liaison (RCCL) for acceptance. The RCCL will coordinate potentially adverse findings with the CR0 as appropriate.

Attach additional documentation supporting DBE's CUF. Additional documentation may include, but is not limited to: photos of DBE performing work photos of DBE's equipment or trucks with DBE firm name and certification number; lease agreement, copies of invoice, and copies of subcontracts.

Reviewer Name:				Contact:		
Project Name:						
IRIS Project Number:			Federal Project ID	No.:		
DOT&PF Division:						
DBE Firm Name:						
Contractor 🔲 Truckin	g Firm 📃	Material Supplier	/ Regular Dealer 📃	Professiona	l Service 🗌	Manufacturer 🗌
Performing as: Prin	ne 📃	Subcon	tractor 📃	Joint Ve	nture 📃	N/A
DBE Start Date:		C	UF Review Date:			
DBE Representative Inte	rviewed:					



Resources

Forms Website

https://dot.alaska.gov/cvlrts/forms.shtml

ADA

ADA Complaint Form
 ADA Reasonable Accommodation Request Form
 ADOT&PF ADA Work Plan

Contract Compliance

Chief Engineer's Directive re: CUF Forms
 DBE CUF Monitoring Report; 25A-298
 DBE CUF Verification; 25A-299
 Commercially Useful Function (CUF) Brochure
 25A-301 Federal EEO Bid Conditions
 25A-303 DOT&PF Monthly Employment Utilization Report
 25A-304; EEO-1 Certification
 25A-321A Contact Report;
 DBE Subcontractable Items
 25A-326 Prime Contractor's Written DBE Commitment
 25A-332A Summary of Good Faith Effort
 25A-336 Instructions Summary of DBE Participation
 25A-336 Summary of DBE Participation
 25A-336 Summary of DBE Participation

DBE Certification

Alaska Unified Certification Program Agreement
 Appendix A and B [Required for On-site Representative(s)
 Brochure: Your Guide to DBE Certification
 DBE Application Form
 Interstate Certification Affidavit
 Personal Net Worth Form
 Personal Net Worth Affidavit
 Work Category List
 Annual No Change Declaration

DBE Compliance - Goals & Good Faith Effort (GFE)

Specification 120
GFE Submittal Checklist
Contact Report (25A-321A)
DBE Subcontractable Items (25A-324)
DBE Utilization Report (25A-325C)
Prime Contractor's Written DBE Commitment Form (25A-326)
Subcontractor List (25D-5)
Summary of DBE Participation (25A-336)
Summary of DBE Participation (25A-336) Instructions
Summary of GFE Documentation (25A-332A)
CUF Monitoring Report (25A-298)

DBE Support Services



Resources

Forms Website

https://dot.alaska.gov/stwddes/dcsconst/

Forms

- Construction Forms
- Contract Forms for Construction Bids
- Special Notice to Bidders

Alaska Construction Forms

Updated January 11, 2023

Questions About Construction Forms?

■Jonathan Weaver, P.E.

Standard Specifications Engineer C Phone: (907) 465-8962

Construction Forms

Form No.	Form Name	Revised		🖬 🗳 🖈
25D-17	Alaska Veteran Preference Certification	07/18	•	D)
25D-19	Alaska Bidder Preference Certification	07/18	•	ß
25D-20	Alaska Product Preference Worksheet	12/19	•	ß
25D-118	Assignee's Release	7/04	•	ß
25D-06	Bidder Registration	6/22	-	ß
	Bridge Formula Chart for Gross Vehicle Weights	4/10		ß
25D-080	Temporary Bridge Submittal Checklist	6/09		ß
	Building Facilites Form	7/14	R	
25D-60	Buy America Material Origin Certificate (FHWA)	11/22	-	ß
25D-62	Buy America Compliance	11/22	-	Ø
25D-154	Material Submittal for Buy American Compliance	3/18		D)

Alaska Contract Forms for Construction Bids

Effective January 24, 2023

Questions About Construction Contract Forms?

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Form #	Form Name	Revised	File
25A-301	Federal EEO Bid Conditions	1/23	Ø
25A-304	EEO-1 Certification	11/19	ß
25A-310	Training Program Request	5/13	Ø
25A-311	Training Utilization Report	1/16	Ø
25A-314	OJT Report Form (AO 278)	5/17	ß
25A-321A	Contact Report	10/16	Ø
25A-324	DBE Subcontractable Items	12/14	ß
25A-325C	DBE Utilization Report, Federal Aid	3/12	Ø
25A-326	Prime Contractor's Written DBE Commitment	8/01	Ø
25A-332A	Summary of Good Faith Effort	8/01	ß
25D-4	Required Documents (State)	07/18	Ø
25D-4A	Required Documents (FAA)	1/23	ß



Resources

Chief Engineer's Directives

https://dot.alaska.gov/stwddes/dcspubs/directives.shtml

Chief Engineer's Directives

Directives that are superceded or codified in subsequent revisions of Standards documents are removed from this page. If you are looking for a directive that is not listed, contact Mike Chambers.

All files are in PDF 🔁 format unless otherwise noted. Click here to download Adobe Acrobat Reader.

Date	Subject	Attachments
January 26, 2023	Highway Design Standards	
January 24, 2023	SSAC Section 60 Buy American Preference	 GCP Sec. 60 Form 25D-151 Form 25D-154 Notice to Bidders
January 24, 2023	Forms 25A-301, 25D-04A & 25D-55A	Form 25A-301Form 25D-04AForm 25D-55A
January 18, 2023	Rescinding HSP20-4 & ASP-5 Fuel Tank	
	Year 2022	
Date	Subject	Attachments
November 8, 2022	HSP20-7 Buy America Provision	HSP20-7Form 25D-60

Year 2023



Wrap Up

Questions

Feedback

Thank you for participating!

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